

TRANSITION PLAN

For Complying with the CMS Home- and Community-Based Services Medicaid Rule

FOR THE STATE OF WASHINGTON

The CMS-approved Washington state transition plan and other information about various state-funded services can be found at:

<https://www.dshs.wa.gov/altsa/hcbs-statewide-draft-transition-plan>

SITE-SPECIFIC ASSESSMENTS

Types of Settings/Residents and Funding Authorities	For a detailed chart of service types and funding authorities, see pages 231–33 of the state transition plan. Assisted living is funded under a 1915c Residential Support waiver as well as some under the Medicaid state plan.
Compliant/Non-Compliant	<p>The Washington state transition plan includes a “systematic evaluation of setting types.” Under this evaluation, assisted living facilities and Adult Family Homes are categorized as “CMS approved.” Adult day care providers are categorized among “Setting Types the State assessed that will fully comply with HCBS Characteristics.”</p> <p>Individual settings will, of course, be evaluated for their compliance with the HCBS rules, but (other than Adult Day Care) the state plan contains no data on setting compliance rates.</p> <p>As of February of 2017, there are 56 participants enrolled in Adult Day Care with Medicaid funding. Most of these participants are receiving services at a setting that provides both Adult Day Health and ADC (16 providers). ADH is a much larger program with approximately 925 participants. These settings were determined to be compliant with the HCBS rules and were approved as part of the Residential Support Waiver. There are six ADC centers contracted to provide only ADC services to Medicaid recipients, providing services to 16 Medicaid participants statewide.</p>
Reasons for Non-Compliance	Not applicable
Types of Remediation	Not applicable

SETTINGS PRESUMED INSTITUTIONAL

% Presumed Institutional (No. of Residents Affected)	One DD setting with four residents was subjected to heightened scrutiny as possibly isolating because it was located on a farm. The individuals grew produce and sold it for income. The setting was found to be fully compliant.
Type of Setting/Residents	See above
Reason for Presumption	See above
Heightened Scrutiny Process	See above

WASHINGTON TRANSITION PLAN FOR COMPLYING WITH THE CMS HOME- AND COMMUNITY-BASED SERVICES MEDICAID RULE (continued)

NON-COMPLIANCE COMMUNICATION

% Cannot/Will Not Comply (No. of Residents Affected)	Under the state’s systematic evaluation, only developmental disability pre-vocational services were deemed as “Setting Types that do not/cannot meet HCBS characteristics.”
Type of Setting/Residents	Not applicable
Reason for Determination	Not applicable
Communication Strategy	<p>Clients are notified of the pending closure.</p> <ul style="list-style-type: none"> a. Clients are provided a 30-day advance notification b. When client safety is a significant concern or there is imminent risk of harm, shorter timeframes may be given to protect residents c. All residents are provided the opportunity to a fair hearing
Assistance to Residents?	<p>Each person will be assigned a case manager who will:</p> <ul style="list-style-type: none"> a. Complete a face-to-face visit to determine level of care, provide placement setting options, and evaluate the need for assessment b. Complete comprehensive assessments as required c. Identify placement options and availability d. Review all placement options with the client, the client’s representative, and other parties chosen by the client

ONGOING MONITORING

Monitoring Procedures	The Department’s Residential Care Services Division (RCS) conducts unannounced inspections at least every 18 months and at least every two years for supported living and DDA group homes, complaint investigations and monitoring visits to determine if homes are in compliance with laws, regulations, and contract requirements. DSHS contracted evaluators conduct annual inspections of adult day service centers and companion homes to ensure that they are complying with state laws and regulations, including those regarding resident rights.
Quality Assurance Measures	Developmental Disabilities Administration has established Residential Quality Assurance Units to do ongoing monitoring. Not clear what additional measures will apply to assisted living and other services.